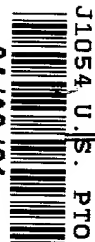


04/30/01



J1054 U.S. PTO

05-02-01

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

J1017 U.S. PTO
09/846927

Docket No. : 45099/DRK/U345
Inventor(s) : James Uberti
Title : ON-LINE BASED FINANCIAL SERVICES METHOD AND SYSTEM
UTILIZING BIOMETRICALLY SECURED TRANSACTIONS FOR
ISSUING CREDIT
Express Mail Label No. : EL483174665US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Date: April 30, 2001

1. ☒ **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).

2. **IF A CONTINUING APPLICATION**

_____ This application is a _____ of patent application No. _____

Prior application information: Examiner ; Group Art Unit:

☒ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4), to provisional Application No. 60/203,041, filed May 9, 2000.

3. **APPLICATION COMPRISED OF**

Specification

35 Specification, claims and Abstract (total pages)

Drawings

3 Sheets of drawing(s) (FIGS. 1 to 3)

Declaration and Power of Attorney

_____ Newly executed

☒ Unexecuted declaration

_____ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. _____ **Microfiche Computer Program** (Appendix)

5. _____ **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all necessary)

_____ Computer Readable Copy

_____ Paper Copy (identical to computer copy)

_____ Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**

☒ Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL ENTITY

7. **ALSO ENCLOSED ARE**

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.: 45099/DRK/U345

- ☐ Preliminary Amendment
- ☐ A Petition for Extension of Time for the parent application and the required fee are enclosed as separate papers
- ☐ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed as separate papers
- ☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
- ☐ Information Disclosure Statement (IDS)/PTO-1449
- ☐ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) *(if foreign priority is claimed)*
- ☐ English Translation Document *(if applicable)*
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☐ Other

8. CORRESPONDENCE ADDRESS

CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068
Customer Number: 23363

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By



Daniel R. Kimbell
Reg. No. 34,849
626/795-9900

DRK/eaj

**FEE TRANSMITTAL
UTILITY PATENT APPLICATION**

DATE: April 30, 2001

Docket No. : 45099/DRK/U345
Inventor(s) : James Uberti
Title : ON-LINE BASED FINANCIAL SERVICES METHOD AND SYSTEM
UTILIZING BIOMETRICALLY SECURED TRANSACTIONS FOR
ISSUING CREDIT

FEE DETERMINATION

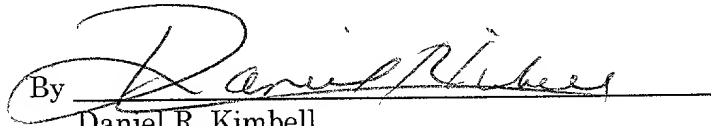
CLAIMS AS FILED					
	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	FEE
TOTAL CLAIMS	24 - 20	4	4 x \$9.00	x \$18.00	\$36.00
INDEPENDENT CLAIMS	4 - 3	1	1 x \$40.00	x \$80.00	\$40.00
MULTIPLE-DEPENDENT CLAIMS FEE			\$135.00	\$270.00	
BASIC FEE			\$355.00	\$710.00	\$355.00
TOTAL FILING FEE					\$431.00
List Independent Claims: 1, 21, 23 and 24					

METHOD OF PAYMENT

- ☒ Payment Enclosed: Check for \$431.00
- ☒ The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By 
Daniel R. Kimbell
Reg. No. 34,849
626/795-9900

DRK/eaj